## FREEDOM OF INFORMATION ACT ANNUAL REPORT FOR FISCAL YEAR 2011

#### FOR THE

### FEDERAL LABOR RELATIONS AUTHORITY, INCLUDING THE AUTHORITY, THE GENERAL COUNSEL, AND THE FEDERAL SERVICE IMPASSES PANEL

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# REPORT OF THE FEDERAL LABOR RELATIONS AUTHORITY, INCLUDING THE AUTHORITY, THE GENERAL COUNSEL, AND THE FEDERAL SERVICE IMPASSES PANEL

## PUBLIC INFORMATION REQUESTS -- FOR THE PERIOD OCTOBER 1, 2010 THROUGH SEPTEMBER 30, 2011

#### **INTRODUCTION**

This report of the Authority, the General Counsel of the Federal Labor Relations Authority, and the Federal Service Impasses Panel with regard to public information requests during the period October 1, 2010 through September 30, 2011, is made in accordance with § 552(e) of Title 5, United States Code, 5 U.S.C. § 552(e) (2000), as amended by the Electronic Freedom of Information Act Amendments of 1996, Pub. L. No. 104-231, 110 Stat. 3048-3054 (codified at 5 U.S.C. § 552 (2000)).

#### I. BASIC INFORMATION REGARDING THIS REPORT

A. FLRA contact person for questions about the FLRA's Annual FOIA Report for Fiscal Year 2011 (10/1/10-9/30/11): Rosa M. Koppel, Solicitor, Federal Labor Relations Authority, Suite 336, 1400 K Street, N.W., Washington, D.C. 20424, Tel: 202-218-7907; FAX: 202-343-1007.

B. Electronic address for this report on the FLRA's World Wide Web site:

http://www.flra.gov/reports/foia2011.html.

C. How to obtain a paper copy of this report: download it from the FLRA's web site (see I.B. above) or request it from the FLRA contact person (see I.A. above).

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#### II. MAKING A FOIA REQUEST

A. See the <u>FLRA Information Guidebook</u> and the FLRA's FOIA regulations, codified at <u>5 C.F.R. pt. 2411</u>, for information about how to make a FOIA request. For the appropriate contact information, including names, addresses, and telephone numbers, consult the <u>FLRA Information Guidebook</u>, or click on one of the following agency components/offices: <u>FOIA Contacts</u>, in the FLRA's <u>FOIA page</u>.

B. The FLRA is an adjudicatory agency, one of whose principal tasks is to decide cases arising under the <u>Federal Service Labor-Management Relations Statute</u> (the Statute). In order to perform the many and varied functions in administering and

enforcing the Statute, the FLRA is organized into three distinct components. The <u>Authority</u> adjudicates disputes concerning negotiability of collective bargaining proposals, unfair labor practice allegations and representation petitions, and exceptions to grievance arbitration awards. The <u>General Counsel</u> investigates unfair labor practice (ULP) charges and prosecutes ULP complaints and is delegated authority to process representation petitions. The <u>Federal Service Impasses Panel</u> resolves bargaining impasses arising from negotiations over conditions of employment.

The most common reasons why some requests are not granted by the FLRA are that requesters seek information protected by the following FOIA exemptions:

Exemption 5:	allows an agency to withhold "inter-agency or intra-agency
<u>Exemption 5</u> .	memorandums or letters which would not be available to a
	party other than an agency in litigation with the agency."
	The attorney work-product privilege and deliberative
	process privilege are privileges that are covered by this
	Exemption. Examples of documents that are covered by
	this Exemption are final investigative reports, various
	memoranda and notes to the file, chronology logs, and
	witness statements.
Exemption 6:	permits the withholding of information about individuals in
	"personnel and medical files and similar files" when the
	disclosure of such information "would constitute a clearly
	unwarranted invasion of personal privacy."
<b>Exemptions</b>	protects information "compiled for law enforcement
(7)(A), (C),	purposes" that could reasonably be expected to interfere
and (D):	with enforcement proceedings (7(A)), that "could
	reasonably be expected to constitute an unwarranted
	invasion of personal privacy" (7(C)), or that "could
	reasonably be expected to disclose the identity of a
	confidential source" (7(D)).

The FLRA will also not grant requests for documents the release of which would constitute a clearly unwarranted invasion of personal privacy (see V.B.3. below).

#### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

- A. Agency-specific acronyms and other terms
  - 1. **AUTHORIY** -- includes the Authority Members, the Administrative Law Judges, and the Solicitor
  - 2. **FSIP** -- Federal Service Impasses Panel
  - 3. **IG** Inspector General for the Federal Labor Relations Authority
  - 4. **FY** -- Fiscal Year
  - 5. **FOIA** -- Freedom of Information Act (5 U.S.C. § 552)
  - 6. **OGC** -- Office of the General Counsel
  - 7. **PA** -- Privacy Act (5 U.S.C. § 552a)
- B. Basic terms, expressed in common terminology
  - 1. **Administrative Appeal** a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
  - 2. **Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
  - 3. **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
  - 4. **Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
  - 5. **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the

- agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- 6. **Exemption 3 Statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- 7. **FOIA Request** a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- 8. **Full Grant** an agency decision to disclose all records in full in response to a FOIA request.
- 9. **Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- 10. **Median Number** the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- 11. **Multi-Track Processing** a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

- a. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
- b. **Simple Request** a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
- c. **Complex Request** a FOIA request that an agency using multitrack processing places in a slower track based on the high volume and/or complexity of the records requested.
- 12. **Partial Grant/Partial Denial** in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- 13. **Pending Request or Pending Administrative Appeal** a request or administrative appeal for which an agency has not taken final action in all respects.
- 14. **Perfected Request** a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- 15. **Processed Request or Processed Administrative Appeal** a request or administrative appeal for which an agency has taken final action in all respects.
- 16. **Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- 17. **Time Limits** the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
- 18. Include the following concise descriptions of the nine FOIA exemptions:
  - a. **Exemption 1**: classified national defense and foreign relations information
  - b. **Exemption 2**: internal agency rules and practices

- c. **Exemption 3**: information that is prohibited from disclosure by another federal law
- d. **Exemption 4**: trade secrets and other confidential business information
- e. **Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6**: information involving matters of personal privacy
- g. Exemption 7: records or information compiled for law enforcement purposes, to the extent that the production of those records: (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8**: information relating to the supervision of financial institutions
- i. **Exemption 9**: geological information on wells

#### IV. EXEMPTION 3 STATUTES

A. Exemption 3 Statutes Relied upon to Withhold Information—

### No FLRA Component relied on Exemption 3 Statutes during FY 10.

Statute Type	e of Information Withheld	Case Citation	Number of Times Relied upon per Component	Total Number of Times Relied upon by Agency
NONE	NONE	NONE	Authority 0  FSIP 0  IG 0  Atlanta Regional Office 0  Boston Regional Office 0  Chicago Regional Office 0  Dallas Regional Office 0  Denver Regional Office 0  San Francisco Regional Office 0  Washington Regional Office 0	0

#### V. FOIA REQUESTS

• For charts in Section V, include all "purported" FOIA requests, both perfected and non-perfected. Non-perfected requests are further reflected in various columns in Chart V, B (1) below.

#### A. Received, Processed and Pending FOIA Requests

	Column 1	Column 2	Column 3	Column 4
	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
Authority	0	45	44	1
FSIP	0	9	9	0
IG	1	5	6	0
OGC	0	9	9	0
Atlanta Regional Office	0	9	9	0
Boston Regional Office	0	2	2	0
Chicago Regional Office	0	1	1	0
Dallas Regional Office	0	2	2	0
Denver Regional Office	0	0	0	0
San Francisco Regional Office	0	3	3	0
Washington Regional Office	0	1	1	0
AGENCY OVERALL	1	86	86	1

## B. (1) Disposition of FOIA Requests – All Processed Requests

	# of Full Grants	# of Partial Grants/ Partial Denials	# of Full Denials Based on Exemptions		Number of Full Denials Based on Reasons Other than Exemptions								
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other  *Explain in chart below	TOTAL
Authority	20	11	0	8	5	0	0	0	0	0	0	0	44
FSIP	8	0	0	1	0	0	0	0	0	0	0	0	9
IG	1	2	0	3	0	0	0	0	0	0	0	0	6
OGC	1	1	1	3	2	0	0	1	0	0	0	0	9
Atlanta Region	2	7	0	0	0	0	0	0	0	0	0	0	9
Boston Region	0	2	0	0	0	0	0	0	0	0	0	0	2
Chicago Region	0	0	0	1	0	0	0	0	0	0	0	0	1
Dallas Region	0	2	0	0	0	0	0	0	0	0	0	0	2
Denver Region	0	0	0	0	0	0	0	0	0	0	0	0	0
San Francisco Region	0	0	3	0	0	0	0	0	0	0	0	0	3
Washington Region	0	1	0	0	0	0	0	0	0	0	0	0	1
AGENCY OVERALL	32	26	4	16	7	0	0	1	0	0	0	0	86

# B. (2) Disposition of FOIA Requests – "Other" Reasons for "Full Denials Based on Reasons Other than Exemptions" from Section V, B (1) Chart

Component	Description of "Other" Reasons for Denials from Chart B (1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
Authority	Description 1 Request was administratively closed when requester did not respond to office request related to cost of processing request		0
FSIP	0	0	0
IG	0	0	0
OGC	0	0	0
Atlanta	0	0	0
Boston	0	0	0
Chicago	0	0	0
Dallas	0	0	0
Denver	0	0	0
San Francisco	0	0	0
Washington  AGENCY  OVERALL	0	0	0

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

	Ex.	Ex.	Ex. 3	Ex.	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
Authority	0	0	0	0	1	2	0	0	0	0	0	0	0	0
FSIP	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IG	0	1	0	1	1	2	0	0	0	0	0	0	0	0
OGC	0	0	0	0	1	1	0	0	0	1	0	0	0	0
Atlanta Regional Office	0	0	0	0	7	0	0	0	0	0	0	0	0	0
Boston Regional Office	0	0	0	0	1	0	0	0	1	1	0	0	0	0
Chicago Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Dallas Regional Office	0	0	0	0	2	0	0	0	0	0	0	0	0	0
Denver Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
San Francisco Regional Office	0	0	0	0	3	0	0	0	3	0	0	0	0	0
Washington Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	1	0	1	16	5	0	0	4	2	0	0	0	0

## VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

#### A. Received, Processed and Pending Administrative Appeals

COMPONENT	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
Authority	2	3	5	0
FSIP	0	0	0	0
OGC	0	3	3	0
AGENCY OVERALL	2	6	8	0

### B. Disposition of Administrative Appeals – All Processed Appeals

COMPONENT	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
Authority	4	0	0	1	5
FSIP	0	0	0	0	0
OGC	1	0	2	0	3
AGENCY OVERALL	5	0	2	1	8

## C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

COMPONENT	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex 9
Authority	0	0	0	2	2	3	0	0	0	0	0	0	0	0
FSIP	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	1	0	0	0	1	1	0	0	0	0
AGENCY OVERALL	0	0	0	2	3	3	0	0	1	1	0	0	0	0

## C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other  *Explain in chart below
Authority	0	0	0	0	0	0	0	0	0	0	1
FSIP	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	1

## C. (3) Reasons for Denial on Appeal – "Other" Reasons from Section VI, C (2) Chart

	Description of "Other" Reasons for Denial on Appeal from Chart C (2) & Number of Times Those Reasons Were Relied upon	TOTAL
Authority	Appeal became moot since requested materials published on agency website	1
FSIP	None	0
OGC	None	0
AGENCY OVERALL	0	1

## C. (4) Response Time for Administrative Appeals

	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
Authority	19.00	29.00	3.00	53.00
FSIP	0	0	0	0
OGC	10.00	10.00	8.00	12.00
AGENCY OVERALL	15.00	13.00	3.00	53.00

## C. (5) Ten Oldest Pending Administrative Appeals

	10 <sup>th</sup> Oldest Appeal and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Appeal and Number of Days Pending
	Date: N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Authority	# of Days: 0	0	0	0	0	0	0	0	0	0
	Date: N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FSIP	# of Days: 0	0	0	0	0	0	0	0	0	0
	Date: N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
IG	# of Days: 0	0	0	0	0	0	0	0	0	0

Agency Overall	10 <sup>th</sup> Oldest Appeal and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Appeal and Number of Days Pending
	Date: N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	# of Days: 0	0	0	0	0	0	0	0	0	0

### VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

### A. Processed Requests – Response Time for All Processed Perfected Requests

		REQU	UESTS		EXPEDITED PROCESSING				
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	
Authority (44)	13	13	0	32	N/A	N/A	N/A	N/A	
FSIP (9)	1	1	0	5	N/A	N/A	N/A	N/A	
IG (6)	12	10	2	15	N/A	N/A	N/A	N/A	
OGC (9)	5	13	2	69	N/A	N/A	N/A	N/A	
Atlanta Reg. Office (9)	14	11	4	16	N/A	N/A	N/A	N/A	
Boston Reg. Office (2)	11	11	4	16	N/A	N/A	N/A	N/A	
Chicago Reg. Office (1)	3	3	3	3	N/A	N/A	N/A	N/A	
Dallas Reg. Office (2)	18	18	18	18	N/A	N/A	N/A	N/A	
Denver Reg. Office (0)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
San Francisco Reg. Office (3)	20	20	20	20	N/A	N/A	N/A	N/A	
Washington Reg. Office (1)	1	1	1	1	N/A	N/A	N/A	N/A	
AGENCY OVERALL	12	11	0	69	N/A	N/A	N/A	N/A	

B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted ("Granted" refers to full grants and partial grants.)

		REQU	JESTS		EXPEDITED PROCESSING				
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	
Authority	16	15	0	32	N/A	N/A	N/A	N/A	
FSIP	1	1	0	5	N/A	N/A	N/A	N/A	
IG	11	12	10	15	N/A	N/A	N/A	N/A	
OGC	3	3	2	3	N/A	N/A	N/A	N/A	
Atlanta Regional Office	14	11	4	16	N/A	N/A	N/A	N/A	
Boston Regional Office	11	11	4	17	N/A	N/A	N/A	N/A	
Chicago Regional Office	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Dallas Regional Office	18	18	18	18	N/A	N/A	N/A	N/A	
Denver Regional Office	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
San Francisco Regional Office	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Washington Regional Office	19	19	19	19	N/A	N/A	N/A	N/A	
AGENCY OVERALL	16	12	0	32	N/A	N/A	N/A	N/A	

### C.1. Processed Requests – Response Time in Day Increments – Simple Requests

	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
Authority	39	5	0	0	0	0	0	0	0	0	0	0	0	44
FSIP	9	0	0	0	0	0	0	0	0	0	0	0	0	9
IG	6	0	0	0	0	0	0	0	0	0	0	0	0	6
OGC	8	0	0	1	0	0	0	0	0	0	0	0	0	9
Atlanta Regional	_	_	_	_	_	_		_	_	_	_	_	_	_
Office	9	0	0	0	0	0	0	0	0	0	0	0	0	9
Boston Regional Office	2	0	0	0	0	0	0	0	0	0	0	0	0	2
Chicago Regional Office	1	0	0	0	0	0	0	0	0	0	0	0	0	1
Dallas Regional Office	2	0	0	0	0	0	0	0	0	0	0	0	0	2
Denver Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
San Fran. Regional Office	3	0	0	0	0	0	0	0	0	0	0	0	0	3
Washington Regional Office	1	0	0	0	0	0	0	0	0	0	0	0	0	1
AGENCY OVERALL	80	5	0	1	0	0	0	0	0	0	0	0	0	86

## C.2. Processed Requests – Response Time in Day Increments – Complex Requests

	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
Authority	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FSIP	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Atlanta Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Boston Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Chicago Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Dallas Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Denver Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
San Fran. Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Washington Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

### C.3. Processed Requests – Response Time in Day Increments – Requests Granted Expedited Processing

	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
Authority	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FSIP	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Atlanta Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Boston Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Chicago Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Dallas Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Denver Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
San Fran. Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Washington Regional Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Requests Granted Expedited Processing -- The FLRA had no perfected expedited processing requests.

### D. Pending Requests – All Pending Perfected Requests

	I	REQUEST	S		XPEDITE ROCESSIN	
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
Authority	1	17	17	0	0	0
FSIP	0	0	0	0	0	0
IG	0	0	0	0	0	0
OGC	0	0	0	0	0	0
Atlanta Regional Office	0	0	0	0	0	0
Boston Regional Office	0	0	0	0	0	0
Chicago Regional Office	0	0	0	0	0	0
Dallas Regional Office	0	0	0	0	0	0
Denver Regional Office	0	0	0	0	0	0
San Fran. Regional Office	0	0	0	0	0	0
Washington Regional Office	0	0	0	0	0	0
AGENCY OVERALL	1	17	17	0	0	0

### E. Pending Requests – Ten Oldest Pending Perfected Requests

	10 <sup>th</sup> Oldest Request and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Request and Number of Days Pending
	09/19/11	0	0	0	0	0	0	0	0	0
Authority	17	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0
FSIP	0	0	0	0	0	0	0	0	0	0
1,511	0	0	0	0	0	0	0	0	0	0
IG	0	0	0	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0
Atlanta	0	0	0	0	0	0	0	0	0	0
Regional Office	0	0	0	0	0	0	0	0	0	0
Boston	0	0	0	0	0	0	0	0	0	0
Regional	0	0	0	0	0	0	0	0	0	0
Office	0	0	0	0	0	0	0	0	0	0
Chicago Regional Office	0	0	0	0	0	0	0	0	0	0
Dallas	0	0	0	0	0	0	0	0	0	0
Regional Office	0	0	0	0	0	0	0	0	0	0
Denver	0	0	0	0	0	0	0	0	0	0
Regional		0	0	0	0	0	0	0	0	0
Office Son Error	0	0	0	0	0	0	0	0	0	0
San Fran. Regional										
Office	0	0	0	0	0	0	0	0	0	0
Washington Regional	0	0	0	0	0	0	0	0	0	0
Office	0	0	0	0	0	0	0	0	0	0

#### E. Pending Requests – Ten Oldest Pending Perfected Requests (Continued)

Agency Overall	10 <sup>th</sup> Oldest Request and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Request and Number of Days Pending
	0	0	0	0	0	0	0	0	0	09/19/11
	0	0	0	0	0	0	0	0	0	17

<sup>\*</sup>The one pending request was issued within the 20-day statutory period under FOIA in October 2011.

## VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

A. Requests for Expedited Processing-- The FLRA adjudicated no expedited processing requests during FY 11.

B. Requests for Fee Waiver

	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
Authority	0	0	0	0
FSIP	0	0	0	0
IG	0	0	0	0
OGC	0	0	0	0
Atlanta Regional Office	0	0	0	0
Boston Regional Office	0	0	0	0
Chicago Regional Office	0	0	0	0
Dallas Regional Office	0	0	0	0
Denver Regional Office	0	0	0	0
San Fran. Regional Office	0	0	0	0
Washington Regional Office	0	0	0	0
AGENCY OVERALL	0	0	0	0

#### IX. FOIA PERSONNEL AND COSTS

		PERSONNEL			COSTS				
	# of "Full -Time FOIA Employee s"	# of "Equivalent Full-Time FOIA Employees"	Total "Full - Time FOIA Staff" (Sum of columns 1 & 2)	Processing Costs (At initial request & appeal level)	Litigation Related Costs	<b>Total Costs</b>			
Authority	0	1	1	\$25,537.56	0	\$25,537.56			
FSIP	0	0.04	.04	\$317.00	0	\$317.00			
IG	0	0.01	.01	\$575.00	0	\$575.00			
OGC	0	0.002	.002	\$370.00	0	\$370.00			
Atlanta Regional Office Boston Regional	0	0.008	.008	\$1,003.50	0	\$1,003.50			
Office	0	0.001	.001	\$200.00	0	\$200.00			
Chicago Regional Office	0	0.001	.001	\$75.00	0	\$75.00			
Dallas Regional Office	0	0.001	.001	\$145.94	0	\$145.94			
Denver Regional Office	0	0.001	.001	\$0.00	0	\$0.00			
San Fran Regional Office	0	0.001	.001	\$100.00	0	\$100.00			
Wash. Regional Office	0	0.001	.001	\$50.00	0	\$50.00			
AGENCY OVERALL	0	1.066	1.066	\$28,374.00	0	\$28,374.00			

### X. FEES COLLECTED FOR PROCESSING REQUESTS

	Total Amount of Fees Collected	Percentage of Total Costs
Authority	\$75.56	0.30%
FSIP	\$0	0%
IG	\$0	0%
OGC	\$0	0%
Atlanta Regional Office	\$0	0%
Boston Regional Office	\$0	0%
Chicago Regional Office	\$0	0%
Dallas Regional Office	\$0	0%
Denver Regional Office	\$0	0%
San Fran Regional Office	\$0	0%
Wash. Regional Office	\$0	0%
AGENCY OVERALL	\$75.56	0.27%

#### XI. FOIA REGULATIONS

 $\frac{http://www.gpo.gov/fdsys/pkg/CFR-2010-title5-vol3/xml/CFR-2010-title5-vol3-part2411.xml}{}$ 

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#### XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Backlogs of FOIA Requests and Administrative Appeals

	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
Authority	0	0
FSIP	0	0
IG	0	0
OGC	0	0
Atlanta Regional Office	0	0
Boston Regional Office	0	0
Chicago Regional Office	0	0
Dallas Regional Office	0	0
Denver Regional Office	0	0
San Fran Regional Office	0	0
Wash. Regional Office	0	0
AGENCY OVERALL	0	0

## B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations –

	Column 1 Column 2		Column 3	Column 4	
	Number of Consultations Received from Other Agencies that Were <u>Pending</u> at FLRA as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were <u>Processed</u> by FLRA During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Pending at FLRA as of End of the Fiscal Year	
Authority	0	0	0	0	
FSIP	0	0	0	0	
IG	0	0	0	0	
OGC	0	0	0	0	
Atlanta Regional Office	0	0	0	0	
Boston Regional Office	0	0	0	0	
Chicago Regional Office	0	0	0	0	
Dallas Regional Office	0	0	0	0	
Denver Regional Office	0	0	0	0	
San Fran Regional Office	0	0	0	0	
Wash. Regional Office	0	0	0	0	
AGENCY OVERALL	0	0	0	0	

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## C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency --

	Sub- Row Heading	10 <sup>th</sup> Oldest Consultation and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Consultation and Number of Days Pending
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Authority	Number of Days	0	0	0	0	0	0	0	0	0	0
_	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FSIP	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
IG	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OGC	Number of Days	0	0	0	0	0	0	0	0	0	0
Atlanta	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Regional Office	Number of Days	0	0	0	0	0	0	0	0	0	0
Boston	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Regional Office	Number of Days	0	0	0	0	0	0	0	0	0	0
Chicago	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Regional Office	Number of Days	0	0	0	0	0	0	0	0	0	0
Dallas	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Regional Office	Number of Days	0	0	0	0	0	0	0	0	0	0
Denver	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Regional Office	Number of Days	0	0	0	0	0	0	0	0	0	0
San Fran	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Regional Office	Number of Days	0	0	0	0	0	0	0	0	0	0
Wash.	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Regional Office	Number of Days	0	0	0	0	0	0	0	0	0	0

## C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency – (Continued)

Agency		10 <sup>th</sup> Oldest Consultation and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Consultation and Number of Days Pending
Overall	Number of Days	N/A 0	N/A 0	N/A 0	N/A 0	N/A 0	N/A 0	N/A 0	N/A 0	N/A 0	N/A 0

D.1. Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

	NUMBER OF REQ	UESTS <u>RECEIVED</u>	NUMBER OF REQUESTS PROCESSED			
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report		
Authority	32	45	34	44		
FSIP	13	9	13	9		
IG	4	5	3	6		
OGC	7	9	7	9		
Atlanta	7	9	7	9		
Boston	6	2	6	2		
Chicago	13	1	13	1		
Dallas	7	2	7	2		
Denver	5	0	5	0		
San Francisco	9	3	9	3		
Washington	6	1	6	1		
AGENCY OVERALL	109	86	110	86		

D.2. Comparison of Numbers of Backlogged Requests from Previous and Current Annual Report

	Column 1	Column 2
	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
Authority	0	0
FSIP	0	0
IG	0	0
OGC	0	0
Atlanta		J
Regional Office	0	0
Boston	U	U
Regional		
Office	0	0
Chicago	-	Ţ.
Regional		
Office	0	0
Dallas		
Regional		
Office	0	0
Denver		
Regional		
Office	0	0
San Fran		
Regional		_
Office	0	0
Wash.		
Regional		
Office	0	0
AGENCY OVERALL	0	0

## E.1. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report

Column 3

Column 4

Column 2

Column 1

NUMBER OF APPEALS RECEIVED NUMBER OF APPEALS PROCESSED **Number Received Number Processed Number Received** Number Processed **During Fiscal Year During Fiscal Year During Fiscal Year During Fiscal Year** from Last Year's from Last Year's from Current from Current **Annual Report Annual Report Annual Report Annual Report** Authority 3 3 1 5 **FSIP** 0 0 0 0 OGC 0 3 0 3 **AGENCY** 6 **OVERALL** 3 1 8

## E.2. Comparison of Numbers of Backlogged Administrative Appeals from Previous and Current Annual Report –

Column 1 Column 2 Number of Backlogged Appeals as **Number of Backlogged Appeals** as of End of the Fiscal Year from of End of the Fiscal Year from **Previous Annual Report Current Annual Report** 2 Authority 0 **FSIP** 0 0 **OGC** 0 **AGENCY** 2 **OVERALL** 0

#### F. Discussion of Other FOIA Activities (Optional)