

**FREEDOM OF INFORMATION ACT ANNUAL
REPORT FOR FISCAL YEAR 2009 THE
FEDERAL LABOR RELATIONS AUTHORITY,
INCLUDING THE AUTHORITY, THE GENERAL
COUNSEL, AND THE FEDERAL SERVICE
IMPASSES PANEL**

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**REPORT OF THE FEDERAL LABOR RELATIONS AUTHORITY,
INCLUDING THE AUTHORITY, THE GENERAL COUNSEL,
AND THE FEDERAL SERVICE IMPASSES PANEL**

**PUBLIC INFORMATION REQUESTS -- FOR THE PERIOD OCTOBER
1, 2008 THROUGH SEPTEMBER 30, 2009**

INTRODUCTION

This report of the Authority, the General Counsel of the Federal Labor Relations Authority, and the Federal Service Impasses Panel with regard to public information requests during the period October 1, 2008 through September 30, 2009, is made in accordance with § 552(e) of Title 5, United States Code, 5 U.S.C. § 552(e) (2000), as amended by the Electronic Freedom of Information Act Amendments of 1996, Pub. L. No. 104-231, 110 Stat. 3048-3054 (codified at 5 U.S.C. § (2000)).

I. BASIC INFORMATION REGARDING THIS REPORT

A. FLRA contact person for questions about the FLRA's Annual FOIA Report for Fiscal Year 2009 (10/1/08-9/30/09): Rosa M. Koppel, Solicitor, Federal Labor Relations Authority, Suite 336, 1400 K Street, N.W., Washington, D.C. 20424, Tel: 202-218-7907; FAX: 202-343-1007.

B. Electronic address for this report on the FLRA's World Wide Web site:

<http://www.flra.gov/elibrary#annual-reports>

C. How to obtain a paper copy of this report: download it from the FLRA's web site (see I.B. above) or request it from the FLRA contact person (see I.A. above).

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II. MAKING A FOIA REQUEST

A. See the [FLRA Information Guidebook](#) and the FLRA's FOIA regulations, codified at [5 C.F.R. pt. 2411](#), for information about how to make a FOIA request. For the appropriate contact information, including names, addresses, and telephone numbers, consult the [FLRA Information Guidebook](#), or click on one of the following agency components/offices: [FOIA Contacts](#), in the FLRA's [FOIA page](#).

B. The FLRA is an adjudicatory agency, one of whose principal tasks is to decide cases arising under the [Federal Service Labor-Management Relations Statute \(the Statute\)](#). In order to perform the many and varied functions in administering and

enforcing the Statute, the FLRA is organized into three distinct components. The [Authority](#) adjudicates disputes concerning negotiability of collective bargaining proposals, unfair labor practice allegations and representation petitions, and exceptions to grievance arbitration awards. The [General Counsel](#) investigates unfair labor practice (ULP) charges and prosecutes ULP complaints and is delegated authority to process representation petitions. The [Federal Service Impasses Panel](#) resolves bargaining impasses arising from negotiations over conditions of employment.

The most common reasons why some requests are not granted by the FLRA are that requesters seek information protected by the following FOIA exemptions:

| | |
|---|---|
| <p>Exemption 5:</p> | <p>allows an agency to withhold “inter-agency or intra-agency memorandums or letters which would not be available to a party other than an agency in litigation with the agency.” The attorney work-product privilege and deliberative process privilege are privileges that are covered by this Exemption. Examples of documents that are covered by this Exemption are final investigative reports, various memoranda and notes to the file, chronology logs, and witness statements.</p> |
| <p>Exemption 6:</p> | <p>permits the withholding of information about individuals in “personnel and medical files and similar files” when the disclosure of such information “would constitute a clearly unwarranted invasion of personal privacy.”</p> |
| <p>Exemptions (7)(A), (C), and (D):</p> | <p>protects information “compiled for law enforcement purposes” that could reasonably be expected to interfere with enforcement proceedings (7(A)), that “could reasonably be expected to constitute an unwarranted invasion of personal privacy” (7(C)), or that “could reasonably be expected to disclose the identity of a confidential source” (7(D)).</p> |

The FLRA will also not grant requests for documents the release of which would constitute a clearly unwarranted invasion of personal privacy (see V.B.3. below).

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III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

A. Agency-specific acronyms and other terms

1. **FSIP** -- Federal Service Impasses Panel
2. **FLRA** -- Federal Labor Relations Authority
3. **IG** – Inspector General for the Federal Labor Relations Authority
4. **FY** -- Fiscal Year
5. **FOIA** -- Freedom of Information Act (5 U.S.C. § 552)
6. **OGC** -- Office of the General Counsel
7. **PA** -- Privacy Act (5 U.S.C. § 552a)

B. Basic terms, expressed in common terminology

1. **Administrative Appeal** – a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
2. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
3. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
4. **Component** – for agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
5. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

6. **Exemption 3 Statute** – a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
7. **FOIA Request** – a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a “third-party” request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., “first-party” requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act “systems of records” or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

8. **Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.
9. **Full Denial** – an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
10. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
11. **Multi-Track Processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - a. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements

for expedited processing as set forth in the statute and in agency regulations.

- b. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - c. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
12. **Partial Grant/Partial Denial** – in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
13. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.
14. **Perfected Request** – a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
15. **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken final action in all respects.
16. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
17. **Time Limits** – the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
18. Include the following concise descriptions of the nine FOIA exemptions:
- a. **Exemption 1:** classified national defense and foreign relations information
 - b. **Exemption 2:** internal agency rules and practices
 - c. **Exemption 3:** information that is prohibited from disclosure by another federal law

- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records: (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8:** information relating to the supervision of financial institutions
- i. **Exemption 9:** geological information on wells

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IV. EXEMPTION 3 STATUTES

A. Exemption 3 Statutes Relied upon to Withhold Information—

No FLRA Component relied on Exemption 3 Statutes during FY 09.

| Statute | Type of Information Withheld | Case Citation | Number of Times Relied upon per Component | Total Number of Times Relied upon by Agency |
|---------|------------------------------|---------------|---|---|
| NONE | NONE | NONE | Authority 0 | 0 |
| | | | FSIP 0 | |
| | | | IG 0 | |
| | | | Atlanta Regional Office 0 | |
| | | | Boston Regional Office 0 | |
| | | | Chicago Regional Office 0 | |
| | | | Dallas Regional Office 0 | |
| | | | Denver Regional Office 0 | |
| | | | San Francisco Regional Office 0 | |
| | | | Washington Regional Office 0 | |

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V. FOIA REQUESTS

A. Received, Processed and Pending FOIA Requests

| | Column 1 | Column 2 | Column 3 | Column 4 |
|-------------------------------|--|---|--|--|
| | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| Authority | 11 | 38 | 47 | 2 |
| FSIP | 0 | 15 | 15 | 0 |
| IG | 0 | 3 | 3 | 0 |
| OGC | 0 | 2 | 2 | 0 |
| Atlanta Regional Office | 0 | 3 | 3 | 0 |
| Boston Regional Office | 0 | 7 | 7 | 0 |
| Chicago Regional Office | 0 | 1 | 1 | 0 |
| Dallas Regional Office | 0 | 1 | 1 | 0 |
| Denver Regional Office | 0 | 2 | 2 | 0 |
| San Francisco Regional Office | 2 | 5 | 7 | 0 |
| Washington Regional Office | 0 | 1 | 1 | 0 |
| AGENCY OVERALL | 13 | 78 | 89 | 2 |

B. (1) Disposition of FOIA Requests – All Processed Requests

| | # of Full Grants | # of Partial Grants/ Partial Denials | # of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | | TOTAL | |
|-----------------------------|------------------|--------------------------------------|---------------------------------------|---|---|-------------------|--------------------|----------------------------------|--|-------------------|-------------------|-------------------------------|----------|-----------|
| | | | | No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other *Explain in chart below | | |
| Authority | 20 | 8 | 0 | 6 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 47 |
| FSIP | 6 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| IG | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| OGC | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Atlanta Region | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| Boston Region | 2 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| Chicago Region | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Dallas Region | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Denver Region | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| San Francisco Region | 3 | 0 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| Washington Region | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| AGENCY OVERALL | 40 | 13 | 4 | 15 | 9 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 89 |

B. (2) Disposition of FOIA Requests – “Other” Reasons for “Full Denials Based on Reasons Other than Exemptions” from Section V, B (1) Chart

| Component | Description of “Other” Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied upon | TOTAL |
|------------------|--|--------------|
| Authority | Description 7 Requests were administratively closed when requesters did not respond to office request related to continued interest in processing requests | 7 |
| FSIP | 0 | 0 |
| IG | 0 | 0 |
| OGC | 0 | 0 |
| Atlanta | 0 | 0 |
| Boston | 0 | 0 |
| Chicago | 0 | 0 |
| Dallas | 0 | 0 |
| Denver | 0 | 0 |
| San Francisco | 0 | 0 |
| Washington | 0 | 0 |

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

| | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|-------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| Authority | 0 | 0 | 0 | 4 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| FSIP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| IG | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OGC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Atlanta Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Boston Regional Office | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 4 | 5 | 0 | 0 | 0 | 0 |
| Chicago Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dallas Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Denver Regional Office | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| San Francisco Regional Office | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 |
| Washington Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 1 | 0 | 4 | 7 | 7 | 1 | 0 | 7 | 8 | 0 | 0 | 0 | 0 |

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VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

A. Received, Processed and Pending Administrative Appeals

| COMPONENT | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
|-----------------------|--|---|--|--|
| Authority | 0 | 2 | 2 | 0 |
| FSIP | 0 | 0 | 0 | 0 |
| OGC | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 2 | 2 | 0 |

B. Disposition of Administrative Appeals – All Processed Appeals

| COMPONENT | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
|-----------------------|---------------------------|---|---|--|----------|
| Authority | 1 | 1 | 0 | 0 | 2 |
| FSIP | 0 | 0 | 0 | 0 | 0 |
| OGC | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 1 | 1 | 0 | 0 | 2 |

C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

| COMPONENT | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|-----------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| Authority | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| FSIP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OGC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |

C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

| | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other *Explain in chart below |
|-----------------------|------------|---|-------------------|--------------------|----------------------------------|------------------------------------|-------------------|-----------------------------|-----------------------|---|-------------------------------|
| Authority | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| FSIP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OGC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

C. (3) Reasons for Denial on Appeal – “Other” Reasons from Section VI, C (2) Chart

| | Description of “Other” Reasons for Denial on Appeal from Chart C (2) & Number of Times Those Reasons Were Relied upon | TOTAL |
|-----------|--|--------------|
| Authority | None | 0 |
| FSIP | None | 0 |
| OGC | None | 0 |

C. (4) Response Time for Administrative Appeals

| | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|-----------------------|--|-------------------------------|------------------------------|-------------------------------|
| Authority | Not applicable (N/A)* | 9 | 2 | 16 |
| FSIP | 0 | 0 | 0 | 0 |
| OGC | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | N/A *Since the Authority had only 2 appeals there is no true median. | 9 | 2 | 16 |

C. (5) Ten Oldest Pending Administrative Appeals

The Authority had no pending appeals.

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VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

A. Processed Requests – Response Time for All Processed Perfected Requests

| | REQUESTS | | | | EXPEDITED PROCESSING | | | |
|-------------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|
| | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| Authority (47) | 18 | 146 | 1 | 1061 | 0 | 0 | 0 | 0 |
| FSIP (15) | 1 | 6 | <1 | 29 | 0 | 0 | 0 | 0 |
| IG (3) | 2 | 5 | 2 | 10 | 0 | 0 | 0 | 0 |
| OGC (2) | 3 | 3 | 2 | 3 | 0 | 0 | 0 | 0 |
| Atlanta Reg. Office (3) | 7 | 8 | 6 | 10 | 0 | 0 | 0 | 0 |
| Boston Reg. Office (6)? | 5 | 7 | 2 | 19 | 0 | 0 | 0 | 0 |
| Chicago Reg. Office (1) | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| Dallas Reg. Office (1) | 6 | 6 | 6 | 6 | 0 | 0 | 0 | 0 |
| Denver Reg. Office (2) | 9 | 9 | 9 | 9 | 0 | 0 | 0 | 0 |
| San Francisco Reg. Office (7) | 9 | 11 | 3 | 20 | 0 | 0 | 0 | 0 |
| Washington Reg. Office (1) | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 10 | 80 | <1 | 1061 | 0 | 0 | 0 | 0 |

B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted (“Granted” refers to full grants and partial grants.)

| | REQUESTS | | | | EXPEDITED PROCESSING | | | |
|-------------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|
| | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| Authority | 19 | 44 | 1 | 207 | 0 | 0 | 0 | 0 |
| FSIP | 6 | 4 | 1 | 11 | 0 | 0 | 0 | 0 |
| IG | 2 | 2 | 2 | 2 | 0 | 0 | 0 | 0 |
| OGC | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| Atlanta Regional Office | 7 | 8 | 6 | 10 | 0 | 0 | 0 | 0 |
| Boston Regional Office | 5 | 8 | 2 | 19 | 0 | 0 | 0 | 0 |
| Chicago Regional Office | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| Dallas Regional Office | 6 | 6 | 6 | 6 | 0 | 0 | 0 | 0 |
| Denver Regional Office | 9 | 9 | 9 | 9 | 0 | 0 | 0 | 0 |
| San Francisco Regional Office | 9 | 11 | 4 | 20 | 0 | 0 | 0 | 0 |
| Washington Regional Office | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 11 | 27 | 1 | 207 | 0 | 0 | 0 | 0 |

C. Processed Requests – Response Time in Day Increments

Requests

| | 1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121- 140 Days | 141- 160 Days | 161- 180 Days | 181- 200 Days | 201- 300 Days | 301- 400 Days | 401+ Days | TOTAL |
|----------------------------------|----------------------|-----------------------|-----------------------|-----------------------|------------------------|-------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|----------------------|--------------|
| Authority | 32 | 4 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 5 | 47 |
| FSIP | 13 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| IG | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| OGC | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| Atlanta Regional Office | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| Boston Regional Office | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| Chicago Regional Office | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Dallas Regional Office | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Denver Regional Office | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| San Fran. Regional Office | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| Washington Regional Office | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| AGENCY OVERALL | 72 | 6 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 5 | 89 |

Requests Granted Expedited Processing -- The FLRA had no perfected expedited processing requests.

D. Pending Requests – All Pending Perfected Requests

| | REQUESTS | | | EXPEDITED PROCESSING | | |
|-----------------------|----------------|-----------------------|------------------------|----------------------|-----------------------|------------------------|
| | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| Authority | 2 | 0 | 252 | 0 | 0 | 0 |
| AGENCY OVERALL | 2 | 0 | 252 | 0 | 0 | 0 |

E. Pending Requests – Ten Oldest Pending Perfected Requests

| | 10 th Oldest Request and Number of Days Pending | 9 th | 8 th | 7 th | 6 th | 5 th | 4 th | 3 rd | 2 nd | Oldest Request and Number of Days Pending |
|-----------|--|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|---|
| Authority | Date: | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10/18/07 |
| | # of Days: | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 487 |

| Agency Overall | 10 th Oldest Request and Number of Days Pending | 9 th | 8 th | 7 th | 6 th | 5 th | 4 th | 3 rd | 2 nd | Oldest Request and Number of Days Pending |
|----------------|--|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|---|
| | Date: 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10/18/07 |
| | # of Days: 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 487 |

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VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

- A. Requests for Expedited Processing-- **The FLRA adjudicated no expedited processing requests during FY 09.**
- B. Requests for Fee Waiver

| | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
|---------------------------|---------------------------|--------------------------|--|---|
| Authority | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 |

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IX. FOIA PERSONNEL AND COSTS

| | PERSONNEL | | | COSTS | | |
|--------------------------|---------------------------------|--|---|--|--------------------------|-------------|
| | # of "Full-Time FOIA Employees" | # of "Equivalent Full-Time FOIA Employees" | Total "Full-Time FOIA Staff" (Sum of columns 1 & 2) | Processing Costs (At initial request & appeal level) | Litigation Related Costs | Total Costs |
| Authority | 0 | 1 | 1 | \$10,198.76 | 0 | \$10,198.76 |
| FSIP | 0 | .04 | .04 | \$267.62 | 0 | \$267.62 |
| IG | 0 | .01 | .01 | \$30.00 | 0 | \$30.00 |
| OGC | 0 | .50 | .50 | \$85.00 | 0 | \$85.70 |
| Atlanta Regional Office | 0 | .50 | .50 | \$307.02 | 0 | \$307.02 |
| Boston Regional Office | 0 | .010 | .010 | \$685.50 | 0 | \$685.50 |
| Chicago Regional Office | 0 | .20 | .20 | \$50 | 0 | \$50 |
| Dallas Regional Office | 0 | .01 | .01 | \$18 | 0 | \$18 |
| Denver Regional Office | 0 | .08 | .08 | \$18 | 0 | \$18 |
| San Fran Regional Office | 0 | .30 | .30 | \$150.00 | 0 | \$150.00 |
| Wash. Regional Office | 0 | .15 | .15 | \$32 | 0 | \$32 |
| AGENCY OVERALL | 0 | 2.80 | 2.80 | \$11,841.90 | 0 | \$11,841.90 |

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X. FEES COLLECTED FOR PROCESSING REQUESTS

| | Total Amount of Fees Collected | Percentage of Total Costs |
|--------------------------|---------------------------------------|----------------------------------|
| Authority | \$40.56 | 0% |
| FSIP | \$0 | 0% |
| IG | \$0 | 0% |
| OGC | \$0 | 0% |
| Atlanta Regional Office | \$32.75 | 11% |
| Boston Regional Office | \$192.34 | 28% |
| Chicago Regional Office | \$0 | 0% |
| Dallas Regional Office | \$0 | 0% |
| Denver Regional Office | \$0 | 0% |
| San Fran Regional Office | \$0 | 0% |
| Wash. Regional Office | \$0 | 0% |
| AGENCY OVERALL | \$265.65 | 2% |

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XI. FOIA REGULATIONS

http://www.flra.gov/webfm_send/130

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XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Backlogs of FOIA Requests and Administrative Appeals

| | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
|-----------------------|---|--|
| Authority | 1* | 0 |
| AGENCY OVERALL | 1* | 0 |

* Since the close of the FY 2009 reporting period, the remaining backlogged request was closed in October 2009.

B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations –

| | Column 1 | Column 2 | Column 3 | Column 4 |
|-----------|---|---|--|---|
| | Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of <u>Start</u> of the Fiscal Year | Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that Were <u>Processed</u> by Your Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of <u>End</u> of the Fiscal Year |
| Authority | 0 | 0 | 0 | 0 |
| FSIP | 0 | 0 | 0 | 0 |
| IG | 0 | 0 | 0 | 0 |

B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations – (Continued)

| | Column 1 | Column 2 | Column 3 | Column 4 |
|--------------------------|--|--|---|--|
| | Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of <u>Start</u> of the Fiscal Year | Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that Were <u>Processed</u> by Your Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of <u>End</u> of the Fiscal Year |
| OGC | 0 | 0 | 0 | 0 |
| Atlanta Regional Office | 0 | 0 | 0 | 0 |
| Boston Regional Office | 0 | 0 | 0 | 0 |
| Chicago Regional Office | 0 | 0 | 0 | 0 |
| Dallas Regional Office | 0 | 0 | 0 | 0 |
| Denver Regional Office | 0 | 0 | 0 | 0 |
| San Fran Regional Office | 0 | 0 | 0 | 0 |
| Wash. Regional Office | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 |

C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency --

| | 10 th Oldest Consultation and Number of Days Pending | 9 th | 8 th | 7 th | 6 th | 5 th | 4 th | 3 rd | 2 nd | Oldest Consultation and Number of Days Pending |
|--------------------------|---|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|--|
| Authority | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| FSIP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| IG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OGC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Atlanta Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Boston Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chicago Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dallas Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Denver Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| San Fran Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Wash. Regional Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Agency Overall | 10 th Oldest Consultation and Number of Days Pending | 9 th | 8 th | 7 th | 6 th | 5 th | 4 th | 3 rd | 2 nd | Oldest Consultation and Number of Days Pending | |
|----------------|---|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|--|---|
| | Date | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

D.1. & 2. Comparison of Numbers of Requests from Previous and Current Annual Report
 – Requests Received, Processed, and Backlogged

| | NUMBER OF REQUESTS <u>RECEIVED</u> | | NUMBER OF REQUESTS <u>PROCESSED</u> | |
|-----------------------|--|--|---|---|
| | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| Authority | 33 | 38 | 34 | 47 |
| FSIP | 9 | 15 | 9 | 15 |
| IG | 1 | 3 | 1 | 3 |
| OGC | 7 | 2 | 7 | 2 |
| Atlanta | 7 | 3 | 7 | 3 |
| Boston | 9 | 7 | 9 | 7 |
| Chicago | 3 | 1 | 4 | 1 |
| Dallas | 1 | 1 | 1 | 1 |
| Denver | 2 | 2 | 2 | 2 |
| San Francisco | 3 | 5 | 1 | 7 |
| Washington | 2 | 1 | 2 | 1 |
| AGENCY OVERALL | 77 | 78 | 77 | 89 |

D.3.

| | Column 1 | Column 2 |
|--------------------------|---|--|
| | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| Authority | 10 | 1 |
| FSIP | 0 | 0 |
| IG | 0 | 0 |
| OGC | 0 | 0 |
| Atlanta Regional Office | 0 | 0 |
| Boston Regional Office | 0 | 0 |
| Chicago Regional Office | 0 | 0 |
| Dallas Regional Office | 0 | 0 |
| Denver Regional Office | 0 | 0 |
| San Fran Regional Office | 0 | 0 |
| Wash. Regional Office | 0 | 0 |
| AGENCY OVERALL | 10 | 1 |

E.1. & 2. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

| | Column 1 | Column 2 | Column 3 | Column 4 |
|-----------------------|--|--|---|---|
| | NUMBER OF APPEALS <u>RECEIVED</u> | | NUMBER OF APPEALS <u>PROCESSED</u> | |
| | Number Received During Fiscal Year from Last Year’s Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year’s Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| Authority | 0 | 2 | 0 | 2 |
| FSIP | 0 | 0 | 0 | 0 |
| OGC | 3 | 0 | 3 | 0 |
| AGENCY OVERALL | 3 | 2 | 3 | 2 |

E.3.

| | Column 1 | Column 2 |
|-----------------------|--|---|
| | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| Authority | 0 | 0 |
| FSIP | 0 | 0 |
| OGC | 0 | 0 |
| AGENCY OVERALL | 0 | 0 |

F. Discussion of Other FOIA Activities (Optional)

- a. Provide here any further information about the agency’s efforts to improve FOIA administration.

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